

Express Mail No. EV 463355465 US

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Campbell et al.
Serial No.: 10/759,593
Filing Date: January 16, 2004
For: **METHODS AND SYSTEMS FOR
SLIDING WINDOWS AND DOORS**
Examiner: To be assigned
Art Unit: 3634

Mail Stop Amendment
Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. §§ 1.56, 1.97, and 1.98, Applicants submit herewith on Form PTO/SB/08a a listing of documents known to the Applicants and/or their attorney. Applicants respectfully request consideration of the cited documents and making the same of record in the prosecution of the above-identified application. In so doing, Applicants do not waive any rights to take appropriate action to establish patentability over the listed documents should they be applied as references against the claims of the present application. Pursuant to the Notice in the Official Gazette of August 5, 2003, wherein the Patent Office waived the requirements of 37 C.F.R. § 1.98(a)(2)(i), Applicants have not enclosed copies of the U.S. patent references.

The references contain one patent (EP 0 855 487 B1) having a German specification and a claim set in English. The English language claim set appears to describe a guiding device for a sliding door that uses a guiding rail with rollers or castors where at least one of the rollers or castors can be moved out of the guiding zone of the rail, characterized in having a rear roller that is turned in the opposite direction of the fastening, or having a castor on an axle, in order to move vertically with respect to the other rollers or castors so that the rear roller or castor may be extracted from the guiding zone of the guiding rail.

This statement should not be construed as a representation that more material information does not exist or that a search of the relevant art has been made. It is respectfully requested that the references listed on the attached form PTO/SB/08a be expressly considered by the Examiner, made of record in the application, and appear among the "References Cited" on any patent to issue therefrom.

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This Information Disclosure Statement is being submitted prior to the mailing of a first substantive Office Action in this application, and, therefore, no certification or fee is required (37 C.F.R. § 1.97b(3)). However, should any fees be due, the Commissioner is authorized to charge such fees to Deposit Account No. 16-1435. A duplicate of this sheet is attached for that purpose.

Respectfully submitted,

Date: October 14, 2004



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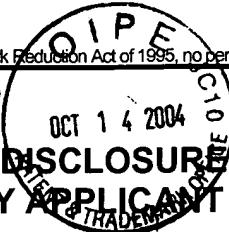
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Substitute for form 1449A/PTO				Complete if Known	
				Application Number	10/759,593
				Filing Date	January 16, 2004
				First Named Inventor	Kevin Campbell et al.
				Art Unit	3634
				Examiner Name	To be assigned
				Attorney Docket Number	49942/296293
Sheet	1	of	2		



INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

U.S. PATENT DOCUMENTS					
Examiner Initials *	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
1	US-5,409,051	04/25/1995	Mullet et al.		
2	US-5,549,149	08/27/1996	Sills et al.		
3	US-5,566,505	10/22/1996	Kamezaki		
4	US-5,575,321	11/19/1996	Currier		
5	US-5,598,606	02/04/1997	Jacobs		
6	US-5,619,822	04/15/1997	Trompert		
7	US-5,671,502	09/30/1997	Ezman		
8	US-5,724,770	03/10/1998	McAfee		
9	US-5,950,279	09/14/1999	Chaput		
10	US-6,024,815	02/15/2000	Norton		
11	US-6,076,304	06/20/2000	Carrier		
12	US-6,167,936	01/02/2001	Stover et al.		
13	US-6,199,331	03/13/2001	Hunt		
14	US-6,227,281	05/08/2001	Martin		
15	US-6,250,360	06/26/2001	Ochoa		
16	US-6,272,793	08/14/2001	Davlantes		
17	US-6,282,770	09/04/2001	Lyon		
18	US-6,357,808	03/19/2002	Supernat		
19	US-6,336,247	01/08/2002	Schnoor		
20	US-6,345,477	02/12/2002	Kepler et al.		

FOREIGN PATENT DOCUMENTS					
Examiner Initials *	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)			
1	EP 0631643 B1	06/07/00	Trompert, M.		
2	EP 0753637 B1	04/05/00	Klein Iberica, S.A.		
3	EP 0779406 A1	06/18/97	De Poli, P.		
4	EP 0795072 B1	03/05/03	Ohman, H.		
5	EP 0855487 B1	11/13/02	Hettich-Heinze GmbH & Co.		
6	EP 0940542 A2	09/08/99	Klein Iberica, S.A.		
7	EP 0940542 A3	03/05/03	Klein Iberica, S.A.		
8	EP 0943772 A2	09/22/99	Klein Iberica, S.A.		
9	EP 0943772 A3	09/24/03	Klein Iberica, S.A.		

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS

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Sheet	2	of	2	Attorney Docket Number	49942/296293

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		Number - Kind Code ² (if known)			
21	US- 6,382,689		05/07/2002	Brown	
22	US- 6,434,789		08/20/2002	Kruse	
23	US- 6,449,906		09/17/2002	Jacobs	
24	US- 6,467,125		10/22/2002	Johnson	
25	US- 6,497,072		12/24/2002	Fries	
26	US- 6,550,210		04/22/2003	Levine et al.	
27	US- 6,611,990		09/02/2003	Sogo et al.	
28	US- 2002/0043912		04/18/2002	Lyon	
29	US- 2004/0083653		05/06/2004	Delgado et al.	
30	US- 2004/0098919		05/27/2004	Bourque et al.	
31	US- 2004/0107642		06/10/2004	Liebscher	
32	US- 2004/0159048		08/19/2004	Jacobs	
	US-				
	US-				

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		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
10	EP 0985793 A2		03/15/00	Jang, Du-Suk		
11	EP 0985793 A3		07/04/01	Jang, Du-Suk		
12	EP 1085154 A2		03/21/01	Komatsu Ltd.		
13	EP 1335096 A2		08/13/03	Breda Sistemi Industriali		
14	EP 1375804 A2		01/02/04	Weiland et al.		
15	WO 96/04451		02/15/96	Primo Sverige AB		
16	WO 98/30777		07/16/98	Grorud Industrier AS		
17	WO 98/40596		09/17/98	ME.TRA.- Metallurgica Trafilati Alluminio SPA		
18	WO 01/18343 A1		03/15/01	Autoglide, Inc.		
19	WO 03/104598 A1		12/18/03	Vrogum Vinduer A/S		
20	WO 2004/056244		07/08/04	Hardoor Mechanism Production Ltd.		
21	WO 2004/059115 A1		07/15/04	New Art System S.R.L.		

Examiner Signature		Date Considered	
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EXPRESS MAIL CERTIFICATE

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Date of Deposit: October 14, 2004

Type of Document(s): Information Disclosure Statement
(In duplicate);
Form PTO/SB/08a, Information Disclosure Statement by Applicant;
Twenty-One (21) References; and
Return postcard

Serial No.: 10/759,593

Date Filed: January 16, 2004

I hereby certify that the documents identified above are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and are addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Catherine A. Johnson
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